

Please type a plus sign (+) inside this box →

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

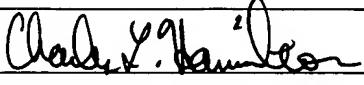
2152
#5
BS
9-3-02COPY OF PAPERS
ORIGINALLY FILED

| | | | |
|--------------------------------------------------------------------------------------------------------|--|-------------------------------|-------------------|
| TRANSMITTAL | | Application Number | 10/077,696 |
| O FORM <i>(to be used for all correspondence after initial filing)</i> JUL 29 2002 | | Filing Date | February 13, 2002 |
| | | First Named Inventor | Shah, Nilesh |
| | | Group Art Unit | 2152 |
| | | Examiner Name | Unassigned |
| Total Number of Pages in This Submission | | Attorney Docket Number | 20949P-000200US |

ENCLOSURES (check all that apply)

| | | |
|------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------|
| <input type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Assignment Papers (for an Application) | <input type="checkbox"/> After Allowance Communication to Group |
| <input type="checkbox"/> Fee Attached | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input type="checkbox"/> Amendment / Response | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final | <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Affidavits/declaration(s) | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Status Letter |
| <input type="checkbox"/> Extension of Time Request | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): |
| <input type="checkbox"/> Express Abandonment Request | <input type="checkbox"/> Terminal Disclaimer | USPTO form SB08A (1 page), copies of 3 cited references, Copy of International Search Report for PCT/US02/05504, Return Postcard |
| <input checked="" type="checkbox"/> Information Disclosure Statement | <input type="checkbox"/> Request for Refund | |
| <input type="checkbox"/> Certified Copy of Priority Document(s) | <input type="checkbox"/> CD, Number of CD(s) | |
| <input type="checkbox"/> Response to Missing Parts/ Incomplete Application | | Remarks |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | | The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430. |

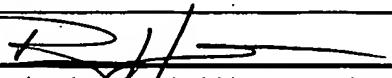
RECEIVED
JUL 31 2002
Technology Center 2100

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | | |
|--------------------------------------------|-------------------------------------------------------------------------------------|--|
| Firm and Individual name | Townsend and Townsend and Crew LLP Charles Hamilton Reg. No. 42,624 | |
| Signature |  | |
| Date | 22 Jul 02 | |

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

July 23, 2002

| | | |
|-----------------------|-------------------------------------------------------------------------------------|------|
| Typed or printed name | Roger Hylton | |
| Signature |  | Date |
| | 7/23/02 | |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

PA 3236594 v1

COPY OF PAPERS
ORIGINALLY FILED

PATENT
Attorney Docket No.: 20949P-000200US
Client Reference No.: CNI#1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:
Nilesh Shah et al.
Application No.: 10/077,696
Filed: February 13, 2002
For: SILICON-BASED STORAGE
VIRTUALIZATION SERVER



Examiner: Unassigned

Art Unit: 2152

INFORMATION DISCLOSURE
STATEMENT UNDER 37 CFR §1.97 and
§1.98

JUL 31 2002
Technology Center 2100

RECEIVED

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The references cited on attached form PTO/SB/08A are being called to the attention of the Examiner. Copies of the references are enclosed. Also enclosed is a copy of the International Search Report corresponding to the PCT application, PCT/US02/05504. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR §1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

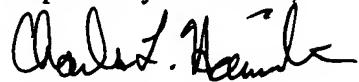
Applicants believe that no fee is required for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-

Nilesh Shah et al.
Application No.: 10/077,696
Page 2

PATENT

1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted
Deposit Account.

Respectfully submitted,



Charles Hamilton
Reg. No. 42,624

TOWNSEND and TOWNSEND and CREW LLP
Two Embarcadero Center, 8th Floor
San Francisco, California 94111-3834
Tel: 650-326-2400
Fax: 650-326-2422
CLH:rnh

PA 3236588 v1

COPY OF PAPERS
ORIGINALLY FILED

RECEIVED

JUL 31 2002

Technology Center 2100

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary).

Sheet

1 of 1 JUL 20 2002

Complete if Known

| | |
|------------------------|-------------------|
| Application Number | 10/077,696 |
| Filing Date | February 13, 2002 |
| First Named Inventor | Shah, Nilesh |
| Art Unit | 2152 |
| Examiner Name | Unassigned |
| Attorney Docket Number | 20949P-000200US |

COPY OF PAPERS
ORIGINALLY FILED**U.S. PATENT DOCUMENTS**

| Examiner | Cite No. ¹ | Document Number Number Kind Code ² (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|----------|-----------------------|-------------------------------------------------------------|--------------------------------|----------------------------------------------------|------------------------------------------------------------------------------------|
| | 1 | US-6,295,575 B1 | 09-25-2001 | Blumeneau | |
| | 2 | US-6,289,376 B1 | 09-11-2001 | Taylor et al. | |
| | 3 | US-6,145,028 | 11-07-2000 | Shank et al. | |
| | | | | | |

FOREIGN PATENT DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Foreign Patent Document | | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T ⁶ |
|--------------------|-----------------------|---------------------------|----------------------------------------------------------|--------------------------------|-------------------------------------------------------|------------------------------------------------------------------------------------|----------------|
| | | Country Code ³ | Number ⁴ Kind Code ⁵ (if known) | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |

RECEIVED
JUL 31 2002
Technology Center 2100



| | |
|--------------------|-----------------|
| Examiner Signature | Date Considered |
|--------------------|-----------------|

¹ EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

² Unique citation designation number. ³ See attached Kinds of U.S. Patent Documents. ⁴ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁵ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁶ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

PA 3236588 v1